

RECEIVED

AUG 28 2014

S.D. SEC. OF STATE

Secretary of State Office  
500 E Capitol Ave  
Pierre, SD 57501  
(605)773-2797

**APPLICATION FOR  
CERTIFICATE OF AUTHORIZATION TO  
PROVIDE POSTSECONDARY EDUCATION**

Please mark the appropriate box:

☒ INITIAL APPLICATION

☐ CHANGE OF PRIMARY ADDRESS

☐ CHANGE OF NAME

☐ CHANGE IN ADDITIONAL SITES (ATTACHMENT A)

☐ CHANGE IN ACCREDITATION

☐ OTHER CHANGE(S)

1. Name of Applicant (*the institutional name under which postsecondary educational programs are provided*):

Case Western Reserve University

2. Applicant's Main Address (*Additional sites listed on Attachment A*):

10900 Euclid Avenue

(Street Address)

Cleveland

(City)

Ohio

(State)

44106

(ZIP Code)

www.Case.edu

(Website)

3. Contact Person:

Jennifer Sparrow

(Name)

216-368-5896

(Telephone Number)

jennifer.sparrow@case.edu

(Email Address)

Director

(Title)

216-368-5481

(Fax Number)

4. Does the Applicant operate at other sites than the address stated above?

☒ YES

☐ NO

If "YES", please be advised that Attachment A to this Application must be completed, which shall comprise part of this Application, and any subsequent changes to the information provided in Attachment A must be submitted with a revised Application to the Secretary of State Office, within thirty (30) days of such change.

5. Does the Applicant have a parent organization (*non-profit, corporate, or otherwise*)? ☐ YES ☒ NO

If "YES", please indicate the following:

\_\_\_\_\_  
(Parent Organization Name)

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(City)

\_\_\_\_\_  
(State)

\_\_\_\_\_  
(ZIP Code)

6. Is the Applicant an instrumentality of the State under the jurisdiction of the South Dakota Board of Regents?

☐ YES ☒ NO

If "NO", please indicate whether the Applicant is either (*check one of the following*):

☒ An instrumentality of another state (please list the state agency which has jurisdiction over Applicant)

State Ohio Agency Board of Regents

Address 30 East Broad Street

City Columbus State OH Zip Code 43215

Contact Phone Number 614-466-6000

Contact Website www.regents.ohio.gov

☐ Legally established to operate in South Dakota as a private business entity

South Dakota Corporate ID \_\_\_\_\_

South Dakota Corporate Name \_\_\_\_\_

☐ Legally established to operate in South Dakota as a not-for-profit corporation.

South Dakota Corporate ID \_\_\_\_\_

South Dakota Corporate Name \_\_\_\_\_

7. Is the Applicant accredited by an accrediting agency recognized by the United States Department of Education?

☒ YES

Accrediting Agency: Higher Learning Commission (NCA)

30 North LaSalle Street, suite 2400

\_\_\_\_\_  
(Street Address)

Chicago

\_\_\_\_\_  
(City)

Illinois

\_\_\_\_\_  
(State)

60602

\_\_\_\_\_  
(ZIP Code)

Effective date of most recent grant of accreditation: October 21, 2005

Term or expiration date of most recent accreditation: October 21, 2015

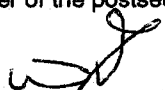
☒ NO

Application submission must include documentation of an affiliation agreement whose terms make another postsecondary institution, which is accredited by an accrediting agency recognized by the United States Department of Education, responsible for awarding academic credit and educational credentials to its students and maintaining transcripts for such students:

The undersigned acknowledges that Applicant is required to notify the Secretary of State Office within thirty (30) days of a change in information set forth in this Application, including any changes in information set forth in any Attachments or other accompanying information. The undersigned has executed the foregoing document and, under penalties of perjury, certifies that the information provided herein, and in support thereof, is true and correct.

The application must be signed by an authorized officer of the postsecondary educational institution:

Dated 8/27/14

  
\_\_\_\_\_  
(Signature of an authorized officer)

**W.A. "Bud" Baeslack III**  
**Provost &**  
\_\_\_\_\_  
(Printed name) **Executive Vice President**

\_\_\_\_\_  
(Title)

**Submit Application to:**  
South Dakota Secretary of State  
Corporations Division  
500 East Capitol, Suite 204  
Pierre, SD 57501

Or email us at:  
SOS.EDU@state.sd.us

### Exemptions

If the institution falls under one or more of the following categories, the institution is exempt from registering.

- Established by the government of the United States;
- Established by the government of an Indian tribe whose tribal lands are located, in whole or in part, in South Dakota;
- Established, owned, controlled, operated, and maintained by a religious organization lawfully operating as a nonprofit religious corporation and awarding only religious degrees or certificates for the purpose of conferring clerical status or authority within that religion; or
- Subject to the jurisdiction and regulations to the South Dakota Cosmetology Commission.

**ATTACHMENT A**

**ADDITIONAL SITES AT WHICH APPLICANT OPERATES EDUCATIONAL PROGRAMS**

(Must be accompanied by an Application for Certificate of Authorization to Provide Postsecondary Education)

1. **Case Western Reserve University**

(Name)

6410 Fannin St # G180

(Street Address)

Houston

Texas

77030

(City)

(State)

(ZIP Code)

2. **Case Western Reserve University**

(Name)

6540 Lusk Blvd

(Street Address)

San Diego

CA

92121

(City)

(State)

(ZIP Code)

3. **Case Western Reserve University**

(Name)

820 First St. N.E.

(Street Address)

Washington

DC

20002

(City)

(State)

(ZIP Code)

4.

(Name)

(Street Address)

(City)

(State)

(ZIP Code)

(Make additional copies of this Attachment as may be necessary and submit with Application)



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/02/2010	201006000677	CERTIFICATE OF AUTHORIZATION (AUT)	50.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

OHIO BOARD OF REGENTS  
30 E. BROAD ST.  
36TH FL.  
COLUMBUS, OH 43215

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jennifer Brunner**

**362476**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**CASE WESTERN RESERVE UNIVERSITY**

and, that said business records show the filing and recording of:

Document(s):  
**CERTIFICATE OF AUTHORIZATION**

Document No(s):  
**201006000677**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 24th day of February, A.D. 2010.

Ohio Secretary of State



Board of Regents

Ted Strickland, Governor  
Eric D. Fingerhut, Chancellor

University System of Ohio

## State of Ohio Certificate of Authorization

### CASE WESTERN RESERVE UNIVERSITY CLEVELAND, OHIO

Bachelor of Arts, Bachelor of Science, Bachelor of Science in Engineering

Master of Accountancy, Master of Arts, Master of Business Administration, Master of Engineering, Master of Engineering and Management, Master of Fine Arts, Master of Laws, Master of Nonprofit Organizations, Master of Nursing, Master of Public Health, Master of Science, Master of Science in Dentistry, Master of Science in Management, Master of Science in Nursing, Master of Science in Social Administration

Doctor of Dental Medicine, Doctor of Medicine, Doctor of Musical Arts, Doctor of Nursing Practice, Doctor of Philosophy, Executive Doctor of Management, Juris Doctor

Please see the attached sheet for the programs of study offered, delivery formats and options available, and off-site locations.

Case Western Reserve University demonstrated compliance with requirements established pursuant to Chapter 1713 of the Ohio Revised Code for the courses, credentials, and sites specified.

Through December 31, 2015  
Dates of Authorization

---

Eric D. Fingerhut  
Chancellor



C: Don Fike

30 North LaSalle Street, Suite 2400 | Chicago, IL 60602-2504 | 312-263-0456  
800-621-7440 | Fax: 312-263-7462 | [www.ncahigherlearningcommission.org](http://www.ncahigherlearningcommission.org)

Serving the common good by assuring and advancing the quality of higher learning

October 21, 2005

RECEIVED  
OCT 28 2005  
PRESIDENT'S  
OFFICE

President Edward M. Hundert  
Case Western Reserve University  
10900 Euclid Avenue  
Cleveland, OH 441067001

Dear President Hundert:

This letter is formal notification of the action taken concerning Case Western Reserve University by The Higher Learning Commission. At its meeting on October 10, 2005, the Institutional Actions Council (IAC) voted to continue the accreditation of Case Western Reserve University, and to adopt any new items entered on the attached Statement of Affiliation Status (SAS). The Commission Board of Trustees validated the IAC action through its validation process that concluded on October 21, 2005. The date on this letter constitutes the effective date of your new status with the Commission.

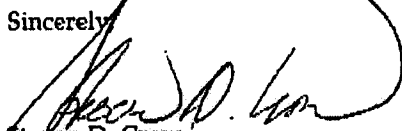
I have enclosed your institution's *Statement of Affiliation Status (SAS)* and *Organizational Profile (OP)*. These documents replace the previous two-part Record of Status and Scope. The SAS is a summary of your organization's ongoing relationship with the Commission. The OP is generated from data you provided in your most recent, (2004-05) Annual Report. If the current Commission action included changes to the demographic, site, or distance education information you reported in your Annual Report, we have made the change on the *Organizational Profile*. No other organizational information was changed.

The attached *Statement of Affiliation Status* and *Organizational Profile* will be posted to the Commission website on Monday, November 21, 2005. Before this public disclosure however, I ask that you verify the information in both documents, and inform me before Friday, November 18, 2005, of any concerns that you may have about these documents. Information about notifying the public of this action is found in Chapter 8.3-3 and 8.3-4 of the *Handbook of Accreditation, Third Edition*.

Please be aware of Commission policy on planned or proposed organizational changes that require Commission action before their initiation. You will find the Commission's change policy in Chapter 7.2 of the *Handbook of Accreditation*. I highly recommend that you review it with care and if you have any questions about how planned institutional changes might affect your relationship with the Commission, you write or call Karen J. Solomon, Ed.D., your staff liaison.

On behalf of the Board of Trustees, I thank you and your associates for your cooperation.

Sincerely,

  
Steven D. Crow  
Executive Director

Enclosures: Statement of Affiliation Status  
Organizational Profile

cc: Evaluation Team Members  
Chair of the Board



## The Higher Learning Commission

30 North LaSalle Street, Suite 2400 | Chicago, Illinois 60602-2504 | 312-263-0456  
800-621-7440 | FAX: 312-263-7462 | [www.ncahigherlearningcommission.org](http://www.ncahigherlearningcommission.org)

### STATEMENT OF AFFILIATION STATUS

CASE WESTERN RESERVE UNIVERSITY  
10900 Euclid Avenue  
Cleveland, OH 44106

*Affiliation Status:* Candidate: Not Applicable  
Accreditation: (1967\*- .)

#### PEAQ PARTICIPANT

#### Nature of Organization

*Legal Status:*

Private NFP

*Degrees Awarded:*

B, M, D, 1st Prof

#### Conditions of Affiliation:

*Stipulations on Affiliation Status:*

None.

*Approval of New Degree Sites:*

Prior Commission approval required.

*Approval of Distance Education Degrees:*

Prior Commission approval required.

*Reports Required:*

Progress Report: 12/01/2008; A report on institutional budget.

*Other Visits Scheduled:*

None.

#### Summary of Commission Review

*Year of Last Comprehensive Evaluation:* 2004 - 2005

*Year for Next Comprehensive Evaluation:* 2014 - 2015

*Date of Last Action:* 10/21/2005

#### Accreditation Notes:

\* \* Note on date of accreditation: accredited as a new entity in 1967 following the merger of Case Institute of Technology and Western Reserve University, both of which had been granted accredited status in 1913.





## ORGANIZATIONAL PROFILE

CASE WESTERN RESERVE UNIVERSITY  
10900 Euclid Avenue  
Cleveland, OH 44106

### Enrollment Demographics (by headcount) (HLC Posted: 06/13/2005)

	<u>Full-Time</u>	<u>Part-Time</u>
Undergraduate:	3252	264
Graduate:	2238	1781
Post-baccalaureate First Professional:	1553	7
Non-Credit headcount:	0	

### Educational Programs (HLC Posted: 06/13/2005)

		<u>Program Distribution</u>	<u>Degrees Awarded</u>
Leading to Undergraduate degrees:	Associate	0	0
	Bachelors	76	790
Leading to Graduate degrees:	Masters	65	1076
	Specialist	0	0
	First Professional	3	435
	Doctoral	60	218
Certificate Programs:	Pre-Associate	0	0
	Other Undergraduate	0	0
	Post-baccalaureate	0	0

### Dual Enrollment (HLC Posted: 06/13/2005)

Headcount in all dual enrollment (high school) 15

### Off-Campus Activities (HLC Posted: 06/13/2005)

In-State:	Campuses:	None
	Sites:	None
	Course Locations:	None
Out-of-State:	Campuses:	None
	Sites:	None
	Course Locations:	None
Out-of-U.S.:	Campuses:	None
	Sites:	None
	Course Locations:	None

### Distance Education Certificate and Degree (HLC Posted: 06/13/2005)

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CASE WESTERN RESERVE UNIVERSITY, an Ohio not for profit corporation, Charter No. 362476, having its principal location in Cleveland, County of Cuyahoga, was incorporated on July 1, 1967 and is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 23rd day of May, A.D. 2014.*

*Jon Husted*

Ohio Secretary of State

Validation Number: 201414300979